

Policies and Procedures

Proposed Policy Number and Title:635 Faculty Rights and Professional ResponsibilitiesExisting Policy Number and Title:635 Faculty Rights and Professional Responsibilities

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POLICY TITLE	Faculty Rights and Professional Responsibilities	Policy Number	635
Section	Academics	Approval Date	June 25, 2020
Subsection	Faculty	Effective Date	June 25, 2020
Responsible Office	Office of the Provost	Last Review	

1.0 PURPOSE

- 2 **1.1** Institutions of higher education exist for the common good, which depends upon the free
- 3 search for knowledge and its free expression. In support of its mission, Utah Valley University is
- 4 committed to fostering a culture of academic rigor and professional excellence while operating
- 5 ethically, effectively, and in compliance with legal requirements. These commitments require
- 6 dedication from the University and its faculty to both academic freedom and professional
- 7 responsibility. This policy sets forth the rights and responsibilities of faculty members consistent
- 8 with principles of academic freedom and professional responsibility as articulated in the 1940
- 9 Statement of Principles on Tenure and Academic Freedom and Tenure (AAUP) and the 1966
- 10 Statement on Professional Ethics (AAUP), respectively.

2.0 REFERENCES

- 11 **2.1** Family Educational Rights and Privacy Act of 1974 (FERPA), 20 U.S.C. § 1232g (1974)
- 12 **2.2** *Title VII of the Civil Rights Act of 1964*, 42 U.S.C. § 2004 (1971)
- 13 **2.3** *Title IX of the Educational Amendments of 1972*, 20 U.S.C. § 1681 et seq. (1972)
- 14 2.4 1940 Statement of Principles on Tenure and Academic Freedom, American Association of
- 15 University Professors (AAUP)
- 16 2.5 Statement on Professional Ethics, AAUP, 1966, 1987, 2009
- 17 2.62.4 Institutional Programs Generally, Utah Code Ann. § 53B-16-101 (2017)
- 18 2.72.5 Utah Governmental Immunity Act, Utah Code Ann. § 63G-7-101 (2017)
- 19 2.6 1940 Statement of Principles on Tenure and Academic Freedom, American Association of
- 20 <u>University Professors (AAUP)</u>
- 21 2.7 Statement on Professional Ethics, AAUP, 1966, 1987, 2009



- 22 2.8 Utah State-Board of Regents-Higher Education Policy R312 Utah System of Higher
 23 Education and Institutional Missions and Roles
- 24 **2.9** Utah State-Board of Regents-Higher Education Policy R481 Academic Freedom,
- 25 Professional Responsibility, Tenure, Termination, and Post-Tenure Review
- 26 2.10 Utah Board of Regents Higher Education Policy R482 Bona Fide Financial Exigency and
 27 Personnel Reduction
- 28 2.11 Utah Board of Regents-Higher Education Policy R485 Faculty Workload Guidelines
- 29 2.12 Utah Board of Regents-Higher Education Policy R840 Institutional Business
 30 Communications
- 31 **2.13** UVU Policy 114 Conflict of Interest and Commitment
- 32 **2.14** UVU Policy 133 Compliance with Government Records Access and Management Act
- 33 2.15 UVU Policy 136 Intellectual Property
- 34 2.16 UVU Policy 137 Sponsored Programs (Grants, Contracts, Cooperative Agreements)
- 35 2.17 UVU Policy 154 Workplace Violence
- 36 <u>2.17 UVU Policy 155 Alcohol- and Drug-Free Workplace</u>
- 37 **2.18** UVU Policy 161 Freedom of Speech
- 38 2.19 UVU Policy 162 Title IX Sexual Harassment-
- 39 **2.20** UVU Policy 165 Discrimination, Harassment, and Affirmative Action
- 40 <u>2.21 UVU Policy 326 Workplace Conduct</u>
- 41 2.212.22 UVU Policy 365 Consulting Leave
- 42 2.22 UVU Policy 324 Drug-free Workplace
- 43 **2.23** UVU Policy 541 Student Code of Conduct
- 44 2.24 UVU Policy 542 FERPA (Student Records Act)cess (Student Privacy/FERPA)
- 45 **2.25** UVU Policy 601 *Classroom Instruction and Management*
- 46 **2.26** UVU Policy 606 Adoption of Course Materials and Textbooks



- 47 **2.27** UVU Policy 632 Assignment and Advancement in Academic Rank
- 48 **2.28** UVU Policy 633 Annual Faculty Reviews Faculty Performance Evaluation and Feedback
- 49 2.29 UVU Policy 636 Research Ethics and Compliance
- 50 2.302.29 UVU Policy 637 Faculty Tenure
- 51 2.312.30 UVU Policy 639 Adjunct Faculty
- 52 2.322.31 UVU Policy 641 Salaried Faculty Workload–Academic Year
- 53 2.332.32 UVU Policy 644 Appointment and Responsibilities of Department Chairs
- 54 2.342.33 UVU Policy 646 Faculty Appeals for Retention, Tenure and Promotion
- 55 2.352.34 UVU Policy 647 Faculty Grievance
- 56 <u>2.35</u> UVU Policy 648 Faculty Personnel Reduction (Interim Policy)
- 57 **2.36** UVU Policy 66036 *Research Ethics and Compliance*
- 58 **2.36**

3.0 DEFINITIONS

- 59 3.1 Civility: Claiming and caring for one's own identity, needs, or beliefs without degrading
 60 someone else or someone else's identity, needs, or beliefs in the process.
- 61 **3.2 Faculty:** For the purposes of this policy, an employee hired into a faculty position
- 62 categorized as a full-time, benefits-eligible employee, whether tenured, tenure-track, or non-63 tenure track.
- 64 3.3 Impermissible academic assistance: Impermissible academic assistance includes but is not
 65 limited to the provision or arrangement of
- 66 **3.3.1** Substantial assistance that is not generally available to an institution's students when this
- 67 assistance results in the certification of a student-athlete's eligibility to participate in
- 68 intercollegiate athletics, receive financial aid, or earn an Academic Progress Rate point. While
- 69 determination of what constitutes 'substantial' assistance is fact-specific, substantial assistance
- 70 generally includes a considerable amount of assistance provided to the student-athlete that is of
- 71 significant value to the student-athlete and not generally available or offered to non-student
- 72 athletes.



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- 73 **3.3.2** An academic exception that results in a grade change, academic credit, or fulfillment of a
- 74 graduation requirement when such an exception is not generally available to the institution's
- 75 students and the exception results in the certification of a student-athlete's eligibility to
- 76 participate in intercollegiate athletics, receive financial aid, or earn an Academic Progress Rate
- 77 point.
- 78 **3.4 Research misconduct:** Fabrication, falsification, plagiarism, willful disregard of policies
- related to research, or other practices that seriously deviate from practices that are commonly
- 80 accepted within the academic research community for proposing, conducting, or reporting
- 81 research. This does not include honest errors or honest differences in interpretations or
- 82 judgments of data or data sets interpretation of.
- 83 **3.5 Retention, tenure, and promotion (RTP) criteria**: Program/department criteria
- 84 corresponding to teaching, scholarship/creative works, and service, outlining requirements for
- 85 the achievement of retention (including post-tenure), tenure, and promotion for tenure-track and
- tenured faculty members in compliance with university policies.
- 87 **3.6 Sponsored Research:** Systematic study directed toward enhanced scientific or scholarly
- 88 knowledge or toward understanding of the subject matter, or the use of such knowledge or
- 89 understanding directed toward the production of useful materials, devices, systems, or methods
- 90 that is funded through a sponsored program. All sponsored research will be in accordance with
- 91 Policy 137. Academic tasks undertaken for the sole purpose of furthering personal knowledge or
- 92 understanding of the subject matter, such as assignments in undergraduate courses, are not
- 93 considered "research" under this policy.

4.0 POLICY

94 **4.1 Scope of this Policy**

- 95 **4.1.1** This policy applies to all full-time faculty employed by Utah Valley University, whether
- 96 tenured, tenure-track, or non-tenure track. Failure by faculty members to comply with the
- 97 responsibilities stated in this policy may lead to remedial or disciplinary action, up to and
- 98 including dismissal. (See UVU Policy 639 Adjunct Faculty for the rights and responsibilities of
- 99 adjunct faculty).
- 100 **4.1.2** Procedures, rights, responsibilities, and duties specified in section 5.0 apply to all faculty
- 101 members as defined in section 3.3. Procedures, rights, and responsibilities may be specified by
- 102 individual departments or other university policies or guidelines, as approved by appropriate
- 103 entities.

104 **4.2 Academic Freedom**



- 105 **4.2.1** All faculty members are free to discuss any matter in the classroom related to the subject of
- 106 the course, to explore all avenues of scholarship, research, and creative expression, and to speak
- 107 or write without institutional discipline or restraint on matters of public concern, inside and
- 108 outside the academic context, including matters related to professional duties and the functioning
- of the University. Whether it engenders hostility, pressure, or praise for the University, this
 freedom of expression shall remain secure for UVU faculty. When faculty members engage in
- their constitutionally protected exercises of freedom of expression, assembly, and association,
- including lowful participation in political activities of private sitizand these every including lowful participation in political activities of private sitizand these every including lowful participation in political activities of private sitizand these every including lowful participation in political activities of private sitizand these every including lowful participation in political activities of private sitizand these every sites of the political set of the po
- 112 including lawful participation in political activities as private citizens, these exercises do not
- 113 violate their duties to UVU or its members or profession.
- 114 **4.2.2** When faculty members speak or write as citizens rather than employees, they should be
- 115 free from university censorship, reprisal, or discipline, but their special position in the
- 116 community imposes special obligations. As scholars and educational officers, they should
- remember that the public may judge their profession and their university by their utterances.
- 118 Hence, at all times they should strive for accuracy, should exercise appropriate restraint, should
- show respect for the opinions of others, and should make every effort to indicate that they are not
- 120 speaking for the University (See AAUP 1940).
- 121 **4.2.3** Faculty shall not use academic freedom or freedom of speech as a pretext to discriminate or
- 122 create a hostile environment within the meaning of Title IX of the Educational Amendments of
- 123 1972, Title VII of the Civil Rights Act of 1964, or other applicable laws. Academic freedom
- does not permit faculty members to violate the rights of students or other employees secured by
- 125 law and UVU policies. Faculty shall also not use academic freedom in the classroom as a pretext
- 126 to discuss controversial matter that is unrelated to their subject.
- 127 **4.3 University Obligations to Faculty**
- 128 **4.3.1** Consistent with Utah law, the University shall provide faculty members support in
- 129 professional activities inside and outside the classroom, on and off campus, in defense of
- 130 academic freedom and in defense of any resulting litigation. For purposes of this policy,
- 131 professional activities are those described or required by each department's RTP criteria.
- 132 **4.3.2** Faculty members can expect the University to provide a teaching environment adequately
- 133 equipped and an environment that encourages research, creative works, and professional
- development appropriate to the mission and demands of a teaching university. Faculty can also
- expect a working environment free from violence or systematic disruption per universitynolicies
- 136 policies.
- **4.3.3** Faculty members have a right to reasonable assistance from the University in improving
- 138 their skills and developing their talents related to their job duties.
- 139 **4.3.4** Subject to university, curriculum, and scheduling needs, faculty members shall be assigned
- 140 reasonable workloads sufficient to carry out their individual duties consistent with university
- policy and in accordance with Utah Board of Regents'<u>Higher Education</u> Policy R312 Utah



- 142 System of Higher Education and Institutional Missions and Roles, Utah Board of Higher
- <u>Education</u> Policy R485 *Faculty Workload Guidelines* and UVU Policy 641 *Salaried Faculty Workload<u>Academic Year</u>*.

145 **4.4 General Rights and Responsibilities**

- 146 **4.4.1** In their interactions with other faculty members, administrators, staff members, and
- 147 university community members, faculty members shall conduct themselves with reasonable
- standards of professionalism. Examples of inappropriate behavior include but are not limited to
- requiring the performance of inappropriate personal services; assigning tasks for punishment
- rather than for educational or job-related reasons; intentional disruption of teaching, research,
- service, or administrative activities; and neglect of necessary communications with students and
- 152 other employees.
- **4.4.2** Delegated by the Utah State Board of <u>RegentsHigher Education</u>, the President has the
- authority to develop policy for the institution subject to the approval of the UVU Board of
- 155 Trustees. Faculty members shall comply with all current university policies and procedures
- 156 applicable to employees, including faculty.
- 157 **4.4.3** Faculty members shall competently perform their responsibilities as teachers and members
- 158 of the faculty at a regional university per Utah Code Section 53B-16-101. Decisions related to a
- 159 faculty member's competence in the areas of teaching, scholarship, responsibility, and service
- 160 will be based on UVU policies and guidelines and will be consistent with relevant college or
- 161 departmental criteria.
- 162 **4.4.4** In interactions with other faculty members, administrators, staff, and students, faculty
- 163 members shall conduct themselves with reasonable standards of professionalism and civility.
- 164 Examples of professionalism and civility include but are not limited to responding promptly to
- 165 emails and other requests for action from supervisors, faculty peers, staff, and students;
- 166 facilitating the success of committees in their intended goals through collaboration, constructive
- 167 discussion, and action; accepting reasonable requests for collaboration pertaining to the faculty's
- area of study, pedagogical and scholarly goals, or goals of the department and school; addressing
- disagreements openly, professionally, and respectfully, which can include appropriate mediation,
- 170 prompt attention to complaints, and good faith efforts to resolve issues; and/or avoiding
- 171 intentional disruption of teaching, scholarly/creative work, or administrative meetings or
- 172 activities.
- 173 **4.4.5** Faculty members shall comply with instructions, whether verbal, written, or electronic,
- 174 from their chair, dean, provost, or president respecting the timely performance of their essential
- 175 duties so long as the instructions are consistent with the law and UVU policy, including the
- 176 rights stated in this policy. Faculty have the right to an unbiased grievance process in accordance
- 177 with UVU Policy 647 Faculty Grievances in matters of department, college or university,
- 178 employment, or academic concerns.



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- 179 **4.4.6** Faculty members have a right to due process commensurate with their faculty
- 180 appointment—as set forth in Policy 648 and other applicable university policies including Policy
- 181 648—in any disciplinary matter. This includes a right to be heard, a right to decision and review
- 182 by impartial persons or bodies, and a right to adequate notice.
- **4.4.7** To the extent permissible by law and university policy, faculty, staff, administrators, and
- 184 students have a right to privacy in their dealings with the University, including the right to expect
- 185 that certain records of their association with the University are treated as confidential. Faculty
- 186 members shall not access, use, or disclose private or confidential information, unless permitted
- 187 by applicable law or university policy. Faculty members shall also store and dispose of records
- 188 containing private or confidential information, including those stored electronically, in
- accordance with law and university policy.
- 190 **4.4.8** All faculty members shall complete trainings required by President's Council, their
- 191 department chair, their dean, or the Provost by the stated deadlines.
- 192 **4.4.8.1** Faculty members have a right to fair notice of any required trainings in advance of the
- 193 deadline. Fair notice must be in writing (email is acceptable) and at least 60 calendar days in
- advance of the deadline, excluding holidays, off-contract periods (e.g., summers), and university-
- approved leaves of absence (other than vacation, personal, and consulting leave).

196 **4.5 Rights and Responsibilities in Teaching**

- 197 **4.5.1** Faculty members shall encourage the pursuit of learning in an atmosphere of civility and
- 198 respect, respect the rights of others, exhibit and foster honest academic conduct, and evaluate
- 199 students based on fair and objective criteria outlined in the course objectives and syllabi. (See
- 200 AAUP's Statement on Professional Ethics).
- 201 **4.5.2** Faculty members are entitled to academic freedom in the classroom in discussing their
- subject, but they should be careful not to introduce into their teaching controversial matter that
- has no relation to the subject of the course (see AAUP, 1940, 1970). Faculty members shall
- adhere to the subject matter and course objectives as stated in course catalogs and syllabi.
- **4.5.3** Faculty members shall not require students to accept their personal beliefs or opinions.
- 206 Where faculty members find it pedagogically useful to advocate a position on controversial
- 207 matters, they shall exercise care to ensure that opportunities exist for students to consider other 208 views.
- 209 **4.5.4** Faculty members have a responsibility to maintain their teaching competence and strive for
- 210 improvement as needed. Faculty members shall engage in reasonable and substantial preparation
- 211 for the teaching of their courses, appropriate to the educational objectives to be achieved and
- 212 consistent with the standards of the discipline. Faculty members shall strive to create learning
- 213 environments that promote free inquiry and critical thinking.



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- 214 **4.5.5** Faculty members shall meet their obligations pertaining to course instruction, including
- holding class as scheduled, presenting approved curriculum appropriate to the subject matter, 215
- 216 grading and responding to students in a timely manner, grading students on clear and objective
- 217 standards related to the course, and being available to assist students outside regularly scheduled
- 218 class times, as determined by the department/school/college.
- 219 **4.5.6** Any faculty member or employee with authority to assign or recommend course materials
- 220 for any course offered by the University shall do so based on sound academic values and UVU
- 221 Policy 606 Adoption of Course Materials and Textbooks
- 222 **4.5.7** UVU is dedicated to providing a fair learning environment to all of its students. Faculty
- 223 members are prohibited from engaging in impermissible academic assistance as defined above in
- 224 section 3.3 and as prohibited by the National Collegiate Athletic Association. Faculty members
- 225 shall participate as necessary in any investigation into allegations of impermissible academic
- 226 assistance, including facilitating expedited investigations where appropriate.
- 227 4.5.8 Faculty members shall not plagiarize ideas and works of students. When faculty members
- 228 and students work together, appropriate credit shall be given to students. Faculty members shall
- 229 not limit or curtail the right of any student to publish or otherwise communicate the result of the
- 230 student's own independent scholarly activities.
- 231 **4.5.9** Faculty members shall not use their positions and authority to obtain uncompensated labor
- 232 or to solicit gifts or favors from students. Faculty members shall not ask students to perform
- 233 services unrelated to legitimate requirements of a course unless the student is reasonably
- 234 compensated for such services and the service rendered does not violate any law or university
- 235 policy.

236 4.6 Rights and Responsibilities in Professional Activities, Scholarship, and Creative Work

- 237 4.6.1 Faculty members have a responsibility to maintain their scholarly/creative competence and strive for improvement as needed. 238
- 239 **4.6.2** Faculty members shall uphold the academic and ethical standards of professional behavior
- 240 in scholarly and creative endeavors established by the University and by their respective 241 discipline(s).
- 242 **4.6.3** Faculty members are entitled to full freedom in research and creative works and in the
- 243 publication, display, and exhibition of the results, subject to the acceptable performance of their
- 244 other academic duties and compliance with state and federal regulations and university policy.
- 245 **4.6.4** Faculty members shall exercise reasonable care in meeting their obligations to their 246 associates when they are engaged in joint research or some other professional effort.

247 4.7 Rights and Responsibilities in Service



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- **4.7.1** UVU is committed to serving both university and public communities. Faculty members
- shall uphold the University's commitment to service by carrying out service relevant to the
- 250 University's mission and as expected in their respective RTP criteria and/or university policies
- and procedures.
- 252 **4.7.2** Except in extenuating circumstances and absent teaching a scheduled course, faculty
- 253 members shall attend department meetings on a regular basis to provide input regarding
 254 department decision-making.
- **4.7.3** Faculty members shall support their departments, colleges/schools, and University by
- actively participating in committees, task forces, university councils, and/or other activities
- 257 relevant to the mission of the University. Faculty members shall be active, responsive,
- 258 constructive, and civil in their service on committees of all levels. Faculty members shall accept
- reasonable departmental requests for collaboration pertaining to the faculty's area of study,
- 260 pedagogical and scholarly goals, or goals of the department and school.
- 261 **4.7.4** To ensure faculty control over faculty areas, senior faculty members -are responsible to
- 262 provide advice and assistance to their junior faculty colleagues as needed concerning matters
- 263 including but not limited to retention, tenure, and promotion policies, processes, and criteria.
- 4.7.5 Faculty members shall perform formal annual reviews and other evaluations of colleagues
 as may be required by policy; formal evaluations shall be conducted professionally and
 objectively, respecting and defending the free inquiry of colleagues.
- 267 **4.7.6** Faculty members shall support their disciplines, professional organizations, and
- 268 communities by participating in activities and groups that are directly related to their disciplines
- and to the mission of the University, including but not limited to service in professional societies,
- 270 planning or chairing conferences or conference sessions, participating in peer review for their
- 271 professional or discipline organizations, or reviewing materials for publication, exhibit, or
- 272 performance, per department RTP criteria.

273 **4.8 Rights and Responsibilities to Members of the University Community**

- 4.8.1 Faculty members shall address workplace conflicts openly, professionally, and respectfully,
 which can include appropriate mediation, prompt attention to complaints, and good faith efforts
 to recolve issues
- to resolve issues.
- 4.8.1.1 Faculty members have the right to no cost, formal or informal conflict resolution or
 consultation services or training through university Faculty Relations services when attempting
 to resolve workplace conflicts. See Section 5.4.6.2.
- 2/9 to resolve workplace conflicts. See Section 5.4.6.2.
- **4.8.2** Faculty members shall not purposely mislead the university by misrepresenting their qualifications as a faculty member or eligibility for university employee benefits.



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- 282 **4.8.3** Faculty members shall avoid exploiting the university's name or their own relation with the
- 283 university for personal reasons unrelated to their legitimate academic or professional activities.
- 284 They must not intentionally create the impression, in public appearances or statements, that they
- are representing the university, unless in fact, they are.
- **4.8.4** Faculty members shall respect university property and programs, and shall respect and
- acknowledge, when appropriate, the legitimate activities and contributions of other persons on
 the university campus.
- 289 **4.8.5** Faculty members shall not purposely incite others to engage in unlawful activity.
- 290 **4.8.6** When conducting email correspondence with the university or as required by UVU policies
- and Utah Board of Regents'<u>Higher Education</u> Policy R840, faculty members shall use their
- 292 university-assigned email. Faculty members and the university shall comply with applicable
- policies and protocols regarding faculty members' use of email, including UVU Policy 44<u>6</u>1
- 294 *Appropriate Use of Computing Facilities Privacy and Disclosure* and the Utah Board of
- 295 Regents'<u>Higher Education</u> Policy R840 Institutional Business Communications.
- 4.8.7 Faculty members are responsible to maintain confidentiality of privileged or confidential
 information and may face disciplinary action for any unauthorized disclosure or misuse, even if
 it is unintentional.

299 **4.9 Rights and Responsibilities in University Governance**

- 4.9.1 Faculty members have the right and responsibility to participate in the governance of the
 University in accordance with Utah law, Utah Board of <u>Regents' Higher Education</u> policies, and
 university policies.
- 303
- 4.9.2 Pursuant to relevant university policies and procedures, faculty members have primary
 responsibility for curriculum, subject matter and methods of instruction, research, creative
 works, performance, and faculty status.
- 4.9.3 Pursuant to relevant university policies and procedures, faculty members have designated
 responsibilities concerning the following decisions regarding faculty: a) academic appointments,
 b) reappointments and decisions to not reappoint at midterm review, c) the granting of tenure, d)
 promotions, and e) dismissal for academic reasons. Recommendations in these matters shall be
 made by faculty participation through established procedures and authorized by appropriate
 academic officers and the President, with final action by the Board of Trustees. Scholars in a
 particular field or activity have the chief competence for judging the academic work of their
- 313 particular field or activity have the chief competence for judging the academic work of their
- 314 peers; in such competence, it is implicit that responsibility exists for both adverse and favorable
- 315 judgments.



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- **4.9.4** Where their rank and status are appropriate, faculty members have the right to vote on
- 317 faculty appointments, promotions, and tenure, and to vote for representatives to college and
- 318 university representative bodies.
- 319 **4.9.5** Subject to approval by the President, Board of Trustees, federal and state authorities, and
- accrediting bodies, the faculty set the requirements for the degrees offered, determine when
- 321 students have met the requirements, and recommend to the President and Board of Trustees the
- 322 granting of degrees.



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5.0 PROCEDURES

323 5.1 Rights and Responsibilities in Teaching

324 5.1.1 Curriculum

5.1.1.1 Subject to curriculum and accreditation needs and guidelines of departments, 325

326 colleges/schools, and the University, faculty members shall design, review, and revise

327 curriculum and courses.

328 5.1.1.2 Faculty members have the right and responsibility to develop and improve their

329 pedagogy, course, and materials.

330 5.1.2 Course Instruction

- 331 5.1.2.1 Faculty and employees assigning self-authored course materials shall follow UVU Policy
- 332 606 Adoption of Course Materials and Textbooks. Except for reimbursement of out-of-pocket
- 333 costs, faculty and employees may not accept or retain royalties or any other personal
- 334 compensation or material benefit from the sale or furnishing of course materials they authored to
- students in their own classes, in any classes in their department, or department/college for which 335 336 they have authority to assign or recommend course materials. They may, however, 1) designate
- 337 such royalties or compensation into a department-wide fund, as approved by the college/school
- 338 dean in consultation with the university Compliance Officer; -or 2) written evidence
- 339 demonstrating arrangements with the publisher and bookstore under which the faculty member's
- 340 royalty or personal compensation is deducted from the price of the materials.
- 341 **5.1.2.2** At the beginning of the course, faculty members shall supply a syllabus for each course
- 342 they teach that clearly communicates course structure, schedule, student expectations, expected
- course outcomes and methods of assessment. Each syllabus shall also include the university-343
- 344 approved disability accommodation, religious accommodation, and Title IX statements, which
- 345 can be found on Canvas. Faculty members set appropriate standards for student performance in 346
- their courses, subject to university standards. Faculty members shall adhere to the subject matter 347 and course objectives as stated in course catalogs and syllabi, however, faculty have the right to
- 348 modify syllabi during a course to meet the needs of the course, provided students have
- 349 reasonable notice.
- 350 5.1.2.3 Faculty members are expected to meet their regularly scheduled classes and hold class for
- 351 the scheduled length. Alteration of schedules, cancellation or rescheduling of classes may be
- 352 done only for valid academic reasons and after adequate notice to students and the department
- 353 chair. Any faculty member anticipating an absence of more than one week in length or two
- 354 weeks total in a semester must have the approval of their chair and dean before the first absence
- 355 takes place. Failure to meet scheduled classes without prior notice to students and department
- 356 chair is excusable only for extenuating reasons beyond the control of faculty members. Faculty



- who wish to alter course schedules, content, or delivery to meet non-Uuniversity professional
 obligations must seek prior approval as specified in Policy 365 Consulting-*Leave*.
- 5.1.2.4 Faculty members shall designate and teach courses as an alternative modality such as
 online or hybrid only after completing the appropriate University approval process established by
 Academic Affairs.
- 362 **5.1.2.5** In accordance with school/college guidelines, faculty members with teaching
- responsibilities shall establish and maintain regular office hours, face to face and/or online, for consultation with students, or otherwise ensure students are able to consult with them in a timely manner on course-related matters.
- 5.1.2.6 Faculty members shall not require students to attend class or submit any assignments or
 assessments on a scheduled reading day. Faculty members shall adhere to UVU Policy 601
 Classroom Instruction and Management when holding final exams or other assessments.
- 369 **5.1.2.7** In accordance with university policies and department or school/college retention, tenure,
- and promotion criteria, faculty members shall comply with reasonable and appropriate requests
- 371 for peer, supervisor, and student evaluations of their teaching.
- 5.1.2.8 Faculty shall store personally identifiable student information only in university-approved locations, systems, or devices.
- 374 5.2 Rights and Responsibilities in Professional Activities, Scholarship, and Creative Work
- 375 **5.2.1** Faculty members shall submit scholarly or creative works for peer or competitive review
- 376 per their department's RTP criteria. Such review may occur in a variety of academic or
- 377 professional venues, including but not limited to, conference presentations and proceedings,
- 378 symposia, seminars, exhibits, performances, and appropriate scholarly or professional
- 379 publications.
- **5.2.2** Research and creative works conducted by faculty shall be in compliance with UVU Policy
 114 Conflict of Interest and Commitment and UVU Policy 136 Intellectual Property.
- 382
- 383 **5.2.3** Faculty members engaging in sponsored research shall consult with the Office of
- 384 Sponsored Programs (OSP) and follow applicable University policies.

385 **5.3 Rights and Responsibilities in University Governance**

- **5.3.1** Tenured and tenure-track faculty members and/or other eligible voters as determined by the
- 387 department shall have the right to elect and/or recall their department chair by majority vote as
- 388 provided in Policy 644 Appointment and Responsibilities of Department Chairs.



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- 389 5.3.2 Faculty members have the right to present position-related concerns to their dean through
- 390 their department chair. If they feel the department chair is not adequately representing them, they
- have the right to address that concern with the dean. If faculty feel the dean is not adequately 391
- 392 representing them, they shall have the right to address that concern with the Associate Deputy Provost directly or through appropriate Faculty Senate representatives by submitting concerns to
- 393
- the Faculty Relations Manager in accordance with UVU Policy 646 Faculty Grievances. 394

POLICY HISTORY			
Date of Last Formal Review: Click here to enter a date.			
Due Date of Next Review: Click here to enter a date.			
Date of Last Action	Action Taken	Authorizing Entity	
April 15, 2010	Approved for Policy Manual	UVU Board of Trustees	
June 25, 2020	Approved for Policy Manual	UVU Board of Trustees	
August 14, 2020	Nonsubstantive change made in section	UVU Policy Office	
	2.19: Title of Policy 162 updated from		
	Sexual Misconduct- to Title IX Sexual		
	Harassment.		
September 30, 2020	Non-substantive change made in section	President's Council and General	
	2.0: Policy 636 was renumbered to 660.	Counsel	
May 9, 2023	Non-substantive change: Updated	UVU General Counsel	
-	reference for Policy 324 Drug-free		
	Workplace to Policy 155 Alcohol- and		
	Drug-free Workplace.		
	Limited scope changes approved as part	UVU Board of Trustees	
	of consulting policy bundle.		

395 *More information on the formal review can be found in Policy 101 section 4.7.3.



Policies and Procedures

POLICY 365 – Consulting Leave EXECUTIVE SUMMARY

Policy Number and Title: 365 Consulting Leave, Policy 601 Classroom Management, Policy 635 Faculty Rights and Professional Responsibilities

Date:	February 21, 2025	
Sponsor:	Marilyn Meyer	
Steward(s):	Drew Burke	
Policy Process:	Regular	
Policy Action:	Revision	
Policy Office Editor:	Cara O'Sullivan	
Embedded Attorney:	Cathy Jordan	

Issues/Concerns (including fiscal, legal, and compliance impact):

The policy lacks clarity or is missing policy guidance in the following areas:

- 1. Which classification of employees (faculty and staff) are covered and prioritizing university responsibilities versus external employment.
- 2. There are no limits on the amount of consulting leave that can be used. Leave is fully paid and allows the employee to be paid their full salary and benefits while also being paid for their consulting work.
- 3. Ensuring employees do not represent their consulting work as affiliated with the University, use UVU trademarks, or improperly utilize university time, property, and resources.
- 4. Streamlining the request and approval process and ensuring requests align with the employee's expertise, professional development, and university interests.
- 5. Ensuring eligibility requires completion of required training, conflict of interest disclosure, and no active university sanctions.

Suggested Changes:

<u>Clarity on Policy Scope and Work Commitment:</u> The policy applies to full-time employees, including faculty, executives, and staff. Added clear expectations that employees must prioritize their university responsibilities, and external employment or consulting activities must not interfere with their designated work schedule or duties.

<u>Consulting Leave Provisions</u>: The university allows full-time employees up to 12 unpaid days of consulting leave per calendar year, granted at the university's discretion. This aligns with other USHE institutions.



Policies and Procedures

Consulting leave must be used when consulting occurs during the designated work schedule, and accrued vacation or personal leave must be used for missed work time.

Employees may consult outside their regular designated work schedule as long as those activities do not negatively affect their university employment, and they do not represent their consulting work as affiliated with the university, use UVU trademarks, or improperly utilize university time, property, and resources.

<u>Authorization and Eligibility:</u> Employees must request and receive prior approval for consulting leave, ensuring it aligns with their expertise, professional development, and university interests. Eligibility requires completion of required training, conflict of interest disclosure, and no active university sanctions.

<u>Restrictions and Compliance:</u> Consulting leave does not apply to university-related activities, personal projects, or consulting unrelated to an employee's expertise. Employees cannot represent their consulting work as affiliated with the university, use UVU trademarks, or improperly utilize university property.

<u>Streamlined Application Process and Administrative Oversight Clarified</u>: Employees must submit a written request detailing the consulting work, dates, and relevance to their expertise.

Supervisors and deans review and approve requests, and Payroll and People and Culture track all consulting leave usage.

Bundled Policy Actions

- Policy 323 Delete as the content will be moved to and addressed in Policy 365
- Policy 601 Classroom *Instruction and Management*: References to consulting leave already removed from temporary policy
- Policy 635 *Guidelines for Consulting*: Update reference in section "2.22 UVU Policy 323 Guidelines for Consulting" to UVU Policy 365 *Consulting Leave*

Requested Approval from President's Council: This Policy bundle has been stalled in Stage 2 of the policy process. This Executive Summary is a courtesy refresher of the policy updates and changes.

Proposed Drafting Committee: Click here to enter the names of the proposed members of the policy drafting committee.

Target Date for Stage 1 Draft to Enter Stage 2: Click here to enter a date.

Target Date for Board of Trustees Review: Click here to enter a date.